

32692

Customer Number



Patent
Case No.: 55080US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: GARDINER, MARK E.

Application No.: 09/415471 Group Art Unit: 1745

Filed: October 8, 1999 Examiner: Sang H. Nguyen

Title: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL
STRUCTURES

COMMUNICATION AFTER NOTICE OF ALLOWANCE

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION	
To Fax No.: 703-872-9306	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on:	
July 8, 2004	Signed by: Kim Elfstrom
Date	

Dear Sir:

The undersigned respectfully requests that the Examiner return the PTO-1449 forms included with the Information Disclosure Statement dated May 4, 2001, acknowledging consideration of the references listed thereon. Copies of the forms are enclosed for the Examiner's convenience.

The issue fee due on July 9, 2004, and is being paid today, July 8, 2004.

Respectfully submitted,

July 8, 2004
Date

By:


Stephen W. Buckingham, Reg. No.: 30,035
Telephone No.: (651) 733-3379

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

ATTORNEY SUB/IS
DOCKETED ne

Patent

Docket No: 55080USA1A.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of: Gardiner et al.

Group Art Unit: 1745

Serial No.: 09/415,471

Filed: October 8, 2001

Examiner: Unknown

For: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL STRUCTURES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

May 4, 2001

Date


Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, enclosed please find a completed form PTO-1449 citing references submitted for consideration during examination of the above-referenced patent application. A copy of each of the references cited therein is also enclosed.

Under 37 C.F.R. § 1.97(e)(1), I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

A copy of a Search Report from a counterpart PCT application is enclosed.

It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 in order to indicate that each of the references listed thereon has been considered in connection with the present application.

Respectfully submitted,

By


Stephen W. Buckingham

Registration Number 30,035	Telephone Number 651-733-3379
Date May 4, 2001	

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Facsimile: (651) 736-3833



OMB No. 0651-0011

INFORMATION DISCLOSURE STATEMENT		Atty. Docket No.: 55080USA1A.002		Serial No.: 09/415,471			
		Applicant(s): GARDINER ET AL.					
		Filing Date: OCTOBER 8, 1999			Group: 1745		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		US5040883	08/20/91	COBB			
		US5999685	07/12/99	GOTO			
		US5997148	12/22/98	OHKAWA			
		US5921651	07/13/99	ISHIKAWA			
		US5775791	07/07/98	YOSHIKAWA			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date of Publication	Country	Class	SubClass	Translation
		JP09145933	06/06/97	JAPAN			<input type="checkbox"/> Yes <input type="checkbox"/> No
		JP11202135	07/30/99	JAPAN			Abstract
		JP11250714	09/17/99	JAPAN			Abstract
		JP07159622	06/23/95	JAPAN			Abstract
OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)							
EXAMINER				Date Considered			
<p>* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <p>Based on Form PTO-FB-A820</p>							

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce

(Also form PTO-1449)
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Application Of: GARDINER ET AL.

Docket No.: 55080USA1A.002 Serial No.: 09/415,471

Title: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL
STRUCTURES

Pages of Specification (including Claims and Abstract): _____

No. of Claims:

Sheets of Drawings: _____

Declaration Assign

Certif. of Mailing

Amount charged to Deposit Account: \$ _____

Attorney (initials): SWB/lS

Date: MAY 4, 2001

Express Mail No.: _____

Enclosures: SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT, 1449 FORM (1 PAGE) AND POST CARD

Application Of: GARDINER ET AL.

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